

## **APPENDIX A**

Audit Committee  
30 November 2016

Appendix A  
Movement Report showing  
Corporate Risks with Amendments

# Recent movement report: - Corporate Risks with Significant Changes for November 2016 Audit Committee

Report Date: 08/11/2016

Date filtered on: 11/08/2016



Notes: References highlighted **Purple** have been added since the 11/08/2016.

Old Category is shown when there has been a change of category.

Previous Risk Score shows rating, profile, probability and impact prior to the Current Risk Score

Risk Ref Risk Description Corporate Priority Category	Risk Owner	Progress Update	Previous Risk Score	Movement Direction	Current Risk Score	Target Risk Score
<p><b>PROP0021</b> <i>Opportunity to Prosper</i> <b>Current Category:</b> <i>Corporate</i></p> <p>In the event that the review of how HCC disposes of its surplus land and property assets determines that HCC should develop these sites and assets itself or through joint venture arrangements, there is a risk that such a change to the disposal policy may slow the delivery of the current £20m per annum receipt value in the current Integrated Plan.</p>	<p>Angela Bucksey  Assistant Director - Property</p>	<p>Update on 25/10/2016: This new risk has been identified as a result of considering alternative methods for surplus land and property asset disposal. If the current review shows that better financial returns (in both capital and revenue outcomes) could be achieved by HCC developing the sites or through joint venture arrangements than the current policy of disposal with outline planning consent, then the current capital financial strategy may need to be reviewed. Undertaking such a change may slow the delivery of the current £20m per annum receipt value in the current Integrated Plan but this would only occur if the review identifies longer term financial gain to HCC is desirable. The review is underway and will complete by January 2017. Update on 08/11/2016: - Following discussion at</p>	<p>08/11/2016 Material 8</p> <p><b>Probability</b> Unlikely 2</p> <p><b>Impact</b> Medium 4</p>	<p>➔</p>	<p>Significant 12</p> <p><b>Probability</b> Possible 3</p> <p><b>Impact</b> Medium 4</p>	<p>Material 8</p> <p><b>Probability</b> Unlikely 2</p> <p><b>Impact</b> Medium 4</p>

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		October Policy & Resources Officer Group, the risk owner has increased the score to better reflect the current risk level. Reviewed On :08/11/2016				
<b>Controls:</b>	<u>Ref</u>	<u>Control Description</u>			<u>Status</u>	<u>Owner</u>
	PROP0021/001	12 positive land sites that will provide good returns identified			In Progress	Mike Evans
	PROP0021/002	Project underway with external support to evaluate options and outcomes available from the proposed sites and report back			In Progress	Mike Evans
	PROP0021/003	Work with Finance team to reflect the options and outcomes in the capital financial strategy			In Progress	Mike Evans
<b>ENV0030</b> <i>Opportunity to be Healthy and Safe</i> <b>Current Category: Corporate</b>  In the event of a failure in road inspection and / or fault reporting procedures, there is a risk that the condition of our roads falls below expected standards, which results in injury to citizens and / or successful claims against HCC.	Rob Smith  Deputy Director Environment	Risk reviewed. The current risk score has been reduced as a result of the service's partnership with Ringway to ensure that work is carried out robustly and, thus, the target frame for repair of defects are being achieved. Additionally, an audit of inspections showed no significant issues. Reviewed On :20/09/2016	20/09/2016 Significant 16  Probability Unlikely 2  Impact High 8		Material 8  Probability Rare 1  Impact High 8	Material 8  Probability Rare 1  Impact High 8

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<b>Controls:</b>	<u>Ref</u>	<u>Control Description</u>			<u>Status</u>	<u>Owner</u>
	ENV0030/001	Protocol for the investigation of road deaths agreed with police.			Existing	Rob Smith
	ENV0030/002	Annual programmes of accident remedial engineering schemes, and structural and routine maintenance in place			Existing	Rob Smith
	ENV0030/003	Broad and accessible fault reporting procedure available to members of the public			Existing	Rob Smith
	ENV0030/004	Quarterly reports from Insurance Team on High Court Cases			Existing	Rob Smith
	ENV0030/005	Audit of inspections & inspection programme			Existing	Rob Smith
	ENV0030/006	Protocol for Serious injury accidents which may result in significant insurance claims			In Progress	Rob Smith
	<u>ENV0030/007</u>	<u>Back up process for online fault report system.</u>			<u>In Progress</u>	<u>Steve Johnson</u>